

OVERVIEW

Audit Analytics is an independent provider of audit, regulatory and disclosure intelligence, serving the accounting, financial, corporate, legal and academic communities. Using our suite of products, our clients gain comprehensive insight into the issues, trends and opportunities in a range of markets and industries.

The Audit Analytics team draws on its experience in public accounting to provide our customers with comprehensive and timely research to complement and enhance their research workflow processes. Our proprietary methodologies enable quantitative analysis of qualitative factors. Innovative technology is supported by personalized customer service and an ongoing commitment to product investment.

A COMPREHENSIVE SOURCE FOR AUDIT, REGULATORY AND DISCLOSURE INTELLIGENCE

Our expertise is in the systematic and detailed tracking, collection and standardization of qualitative and quantitative public disclosures. Audit Analytics tracks disclosures with the SEC, NAIC, IRS, SEDAR and more. Below is a summary of the different databases and products we offer.

DELIVERING TIMELY RESEARCH AND RESULTS

Audit + Compliance

Track and understand customer and competitor activities and trends related to audit, regulatory and disclosure issues. The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, benchmarking, compliance monitoring and trend analysis.

- Auditor Changes
- Auditor Engagements
- Financial Restatements
- Audit Fees
- Director & Officer Changes
- Audit Opinions
- Internal Controls (SOX 404)
- Disclosure Controls (SOX 302)
- Non-timely Filings
- Benefit Plan Opinions

Corporate + Legal

An integrated collection of databases focused on actions, disclosures and correspondence by companies, advisors, regulators and investors. Leading investment and legal professionals use this intelligence to achieve cost and time savings for accounting and securities law work, due diligence and business development.

- SEC Comment Letters
- Private Placements
- Bankruptcies
- Federal Litigation
- Transfer Agents
- Mergers & Acquisitions
- Shareholder Activism
- Initial Public Offerings
- Tax Footnotes

Accounting + Oversight

Designed to illuminate the big picture of the audit and regulatory environment. Gain insight into audit and accounting quality, trends in PCAOB inspections, shareholder satisfaction with auditors and more.

- Auditor Ratification
- Changes in Accounting Estimates
- PCAOB Inspection Reports

Other Independent Audits (OIA)

Bring into focus hundreds of thousands of audits of non-public entities. Comprising two separate modules – [Financial Services](#) and [Government + Non-Profit](#) – this product covers a number of boutique industries, from broker dealers to benefit plans. These tools can help identify market and engagement opportunities.

Financial Services (OIA)

- Broker Dealers
- Insurance Companies
- Private Funds
- Investment Advisers

Government + Non-Profit (OIA)

- ERISA
- Single Audit

Canada (SEDAR)

Our SEDAR module provides detailed research and expert analysis on nearly 4,000 Canadian public companies. We process the filings required by the Canadian Securities Administrators that are submitted to the online SEDAR database, enabling powerful and efficient data analytics.

- Audit Fees
- Auditor Changes
- Audit Committees

"We have researched and analyzed thousands of public companies using the Audit Analytics service and have found the service to be innovative, timely and accurate. The support staff has been an invaluable resource for our marketing, risk management and business development efforts."

Otis Joseph
Marketing Intelligence Manager
Grant Thornton, LLP

WHO BENEFITS

Audit Analytics is used around the world for market intelligence, due diligence, benchmarking, compliance monitoring and disclosure trend analysis.

Accounting and Professional Firms
Market Share Analysis
Business Development

Law Firms
SEC Filing Review
Disclosure Trend Awareness

Investment Managers
Portfolio Screening
Risk Assessment

Corporate Reporting Professionals
Peer Benchmarking
SEC Comment Letter Reviews

Universities and Academics
Peer Reviewed Data
Empirical Research

Insurance Providers
Due Diligence
Informed Underwriting

Peer Reporter

Benchmark and track key audit and compliance issues within defined peer groups on a daily basis. Comprehensive and timely custom reports are easily generated with the Peer Reporter application.

- Peer group creator
- Custom e-mail alerts
- Industry reports
- Benchmarking reports

Data Feeds

Integrate Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

- Information systems integration
- Relational tables
- Customizable schemes
- Standardized taxonomies

Custom Research

Apply Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.

- Market share analysis
- Audit and compliance trends
- Operational risk analysis
- Litigation trends
- Data mining
- Custom requests

AUDIT ANALYTICS IN ACTION

Easy-to-use features allow users to download data into Excel, receive daily e-mail alerts of important public company events, and benefit from advanced online filters and searches.

The image displays several overlapping screenshots of the Audit Analytics web application. One window shows a list of companies with columns for Ticker, CUSIP, and Market. Another window shows a detailed profile for Herbalife Ltd. (HLF), including its fiscal year end, business address, and market cap. A third window shows a summary of audit changes for various companies, with columns for Company, Location, and Dismissed/Engaged status. A fourth window shows an email alert from Audit Analytics regarding audit changes for 2013-10-25.

SEC Disclosure Issues

- Comparable taxonomies
- Individually reviewed by analysts
- Sourced for easy reference

FIND OUT MORE

For complete information about our audit, regulatory and disclosure intelligence, contact us today to schedule a personalized Audit Analytics demonstration.

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