Ideagen Audit Analytics

Corporate + Legal

The Corporate + Legal module is an integrated collection of databases focused on actions, disclosures and correspondence by companies, advisors, regulators and investors.





Securities law research



Due diligence



Business development

Featured databases

Bankruptcies

Tracks SEC filings of bankruptcy declarations under Chapter 7 and 11 by court and date.

Comment letters

Contains SEC comment letters and company responses. Search and browse by: issue or citation, text string, people (SEC staff, lawyers, executives) and more.

Comment letter threads

Arranges SEC comment letter narratives into threads of numbered SEC comments matched with corresponding response and follow up questions between SEC staff and registrant.

Tracks initial public offerings since 2000including auditor at IPO date, current auditor, and IPO related accounting and legal fees.

Litigation

Provides analysis and breakdown of all federal securities class action claims, SEC actions, and material federal civil litigation.

Outside counsel

Tracks outside counsel and law firm client relationships for all SEC registrants. Data allows you to analyze current client's risks, understand relationships for onboarding, and monitor C-level moves including General Counsel.

Private placement

Tracks the private securities offerings as disclosed under Reg D. Key data points include total amount of offering, amount sold, directors and officers.

Shareholder activism

Tracks the disclosed disputes, actions, and intentions of activist shareholders as disclosed in Schedule 13D filings.

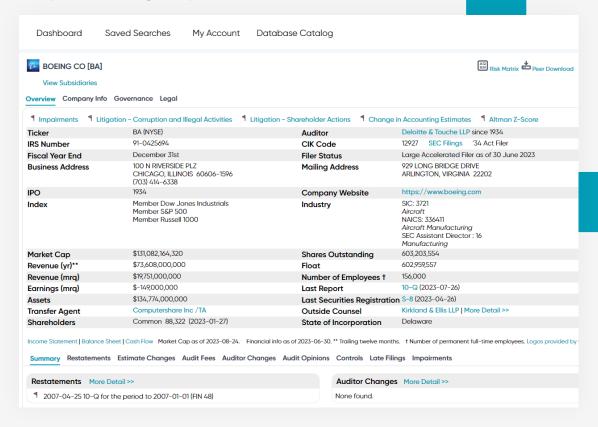
Transfer agents

Tracks the stock transfer agents for over 11,000 SEC registrants. Key data points include number of share-holders and current stock transfer agent.

Corporate + Legal in action

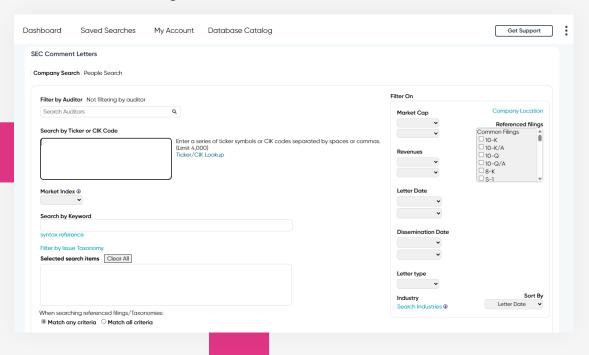
Comment letters

The SEC comment letters database individually analyzes and categorizes each letter for specific audit, regulatory and disclosure issues.



Litigation database

The Litigation database provides an analysis of SEC registrants for federal securities class action claims, SEC actions, and material federal civil litigation disclosed to the SEC.



Our data offerings

Accounting + Oversight

Designed to illuminate the big picture of the audit and regulatory environment. Gain insight into audit and accounting quality, trends in PCAOB inspections, shareholder satisfaction with auditors and more.

Audit + Compliance

Track and understand customer and competitor activities and trends related to audit, regulatory and issues. The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, benchmarking, compliance monitoring and trend analysis.

Funds + Securities

The Funds + Securities module is a new Ideagen Audit Analytics bundle designed to give users further insight into funds and securities registered with the SEC.

Other Independent Audits (OIA)

Bring into focus hundreds of thousands of audits of non-public entities. Comprising two separate modules - Financial Services and Government & Non-Profit - this product covers a number of boutique industries, from broker dealers to benefit plans. These tools can help identify market and engagement opportunities.

Canada (SEDAR)

Provides detailed research and expert analysis on nearly 4,000 Canadian public companies. We process filings required by the Canadian Securities Administrators that are submitted to the online SEDAR database, enabling powerful and efficient data analytics.

Europe

Provides auditor and audit-related information on public companies and EEA Public Interest Entities (PIEs). Our core offerings include auditor engagements, audit fees, audit opinions, auditor changes, key audit matters (KAMs), transparency reports, and financial restatements.

Data feeds

Integrate Ideagen Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Ideagen Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

Custom research

Apply Ideagen Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.

Who benefits: Corporate + Legal

Investment, accounting and legal professionals use the Corporate + Legal module to achieve significant cost and time savings for accounting and securities law work, due diligence and business development.

- Attorneys
- Research librarians
- Public accountants
- ▶ Consultants
- Analysts
- Marketing & business development professionals

About us

Ideagen Audit Analytics tracks in detail the issues related to audit, compliance, governance, corporate actions and federal litigation from a broad range of public disclosures, including: approximately 60,000 SEC registrants (including foreign and Canadian filers); nearly 7,000 Canadian SEDAR filers; roughly 8,000 European companies; more than 5,000 insurance companies filing with the NAIC; over 17 million ERISA plans filing IRS Form 5500; and more.

